

Richard Nixon Presidential Library
White House Special Files Collection
Folder List

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23	13	nd	Brochure	The State of Connecticut Corrupt Practices Act. Not scanned.
23	13	nd	Form	Schedule A Statement of Treasurer Testimonial Affidavit.
23	13	nd	Form	Schedule A Page 2 - Expenditures
23	13	nd	Form	Statement of Treasurer Contributions and Expenditures.
23	13	nd	Form	Statement of Personal Expenses of Candidates
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23	13	nd	Other Document	Campaign Financing Reporting and Disclosure.
23	13	nd	Form	Designation of Coordinating Treasurer
23	13	nd	Form	Designation of Treasurer and Bank Depository

SCHEDULE A
STATEMENT OF TREASURER
TESTIMONIAL AFFAIR

I, appearing on the records of the Secretary of the State as TREASURER of
(Name of Committee or Name and Office of Candidate)
..... do hereby certify under oath that
(Address of Committee or Candidate)

I make this statement in accordance with the requirements of Chapter 150 of the General Statutes, and that this is a complete itemized statement which contains all the information required by said Chapter 150, for the period 19.... to 19...., said period specifically including a testimonial affair held on 19.... at

NOTE: A separate report must be filed as to each testimonial affair.

CONTRIBUTIONS

Full Name of Contributor and Complete Address (Sec. 9-348h)	Amount Received	

NOTE: If you do not have sufficient space to list all contributions and expenditures on this form, list them on a separate sheet (or sheets) and attach to this form.

**STATEMENT OF TREASURER
CONTRIBUTIONS AND EXPENDITURES**

I, appearing on the records of the Secretary of the State as **TREASURER** of
(Name of Committee or Name and Office of Candidate)

..... do hereby certify under oath that I
(Address of Committee or Candidate)
make this statement in accordance with the requirements of Chapter 150 of the General Statutes, and that this is a complete itemized statement which contains all the information required by said Chapter 150, for the period 19.... to 19....

NOTE: To report a testimonial affair (Section 9-348n) use Schedule A.

CONTRIBUTIONS

Full Name of Contributor and Complete Address (Sec. 9-348h)	Amount Received	

NOTE: If you do not have sufficient space to list all contributions and expenditures on this form, list them on a separate sheet (or sheets) and attach to this form.

EXPENDITURES

Full Name and Complete Address of Payee	Purpose for which Expended	Amount Expended

NOTE: If you do not have sufficient space on this form, attach additional sheets.

STATEMENT OF PERSONAL EXPENSES OF CANDIDATE (Sec. 9-348e)

(DIRECTION TO TREASURER: Have candidate complete this part for inclusion with your return (Sec. 9-348h) where applicable)

I, of (Complete Address)

CANDIDATE for the office of hereby certify that the following is an itemized statement setting forth in detail all the moneys expended by me pursuant to Section 9-348e of the Connecticut General Statutes.

Table with 3 columns: Full Name and Complete Address of Payee, Purpose for Which Expended, Amount Expended

Dated at this day of 19....

..... Signature of Candidate

Subscribed and sworn to before me this day of 19....

..... Notary Public Justice of the Peace Commissioner of the Superior Court

SUMMARY STATEMENT

Use Schedule A to report contributions and expenditures of testimonial affair held pursuant to Section 9-348n. Do not include same on this page.

Total Receipts \$
Total Expenditures \$
Unexpended balance \$
(or deficit) \$

Dated at this day of 19....

.....
Signature of Treasurer

Subscribed and sworn to before me this day of 19....

.....
Notary Public
Justice of the Peace
Commissioner of the Superior Court

NOTE: Unexpended balance (or deficit) in the case of reports filed by Treasurers of Candidate - see Sec. 9-348n as to supplemental reports, and/or statements of disposition of surplus.

**CAMPAIGN FINANCING
REPORTING AND DISCLOSURE**

THE CAMPAIGN FINANCING AND REPORTING ACT IS IN
TWO PARTS AND WILL SO BE TREATED HEREIN

PART A

This part treats financing campaigns for election, and preliminary primaries, to the offices of presidential elector, United States senator, member in congress, governor, lieutenant governor, secretary of the state, treasurer, comptroller, attorney general, sheriff, judge of probate, and member of the general assembly.

Financing, the receipt of campaign contributions and the expenditure of same, is in all events done by the campaign treasurer. There may be many campaign treasurers, for nothing prevents one from serving as the treasurer of more than one organization or candidate, and nothing prevents an organization or candidate from having more than one campaign treasurer.

Organizations which must have a campaign treasurer are those combinations of two or more persons organized or conducting its activities in or outside the state to aid or promote the success or defeat of any political party or principle in any election or to take part in the nomination or election of any candidate for public office.

A candidate himself, if he is to enter upon the business of campaign financing, must do so through a campaign treasurer; the candidate, then, may appoint his own campaign treasurer. Or, with his permission, there may be a committee formed on behalf of the candidate himself; in such event the committee must have a campaign treasurer.

While a candidate may appoint one or more treasurers, or have one or more committees on his behalf each with a treasurer, in no event may the candidate serve as his own campaign treasurer. In addition, each candidate is required to designate one treasurer to be responsible for receiving and coordinating reports of contributions and expenditures and filing the itemized sworn statement required with the secretary of the state. All other campaign treasurers and political committees shall be responsible for reporting contributions to and expenditures by them to such Coordinating Treasurer not later than ten days prior to the filing date with the Secretary of the State.

The first step, which must be executed before the business of campaign financing is begun, is the filing of designations with the secretary of the state. Three designations are required: (a) that of the campaign treasurer, who must be a Connecticut elector; (b) that of the campaign depository, which must be a bank situated in Connecticut; and (c) that of the coordinating treasurer.

The designation of treasurer is signed by the secretary of the committee; in the case of a personal treasurer appointed by the candidate, it is signed by the candidate; in the case of the coordinating treasurer, it is signed by the candidate; in the case of a committee formed on behalf of a candidate, it is signed by the secretary of the committee and the candidate. The designation must specify the period during which the treasurership shall continue.

Only after the campaign treasurer and the campaign depository have been designated may campaign contributions be solicited or received, and expenditures authorized or made.

All contributions, including any contributions by the candidate himself, must be made only to a treasurer whose designation is on file, and the treasurer must deposit contributions within seven days of receipt in the bank depository whose designation is on file.

Limitations on what a candidate himself may contribute have been removed from state law. Reference should be made to federal law where applicable.

Only a campaign treasurer may authorize the expenditure of campaign funds and actually expend campaign funds. No financial obligation may be incurred unless it is authorized in writing by the campaign treasurer. And the actual satisfaction of any financial obligation must be by check drawn on the bank depository by the campaign treasurer according to the tenor of his original authorization. This precludes checks made payable to "cash".

With reference to expenditures, while the actual business of campaign financing is carried on only by a campaign treasurer, candidates are in strictly limited circumstances permitted to pay their own personal expenses. The candidate may do so either out of pocket, or by drawing upon a campaign treasurer.

Personal expenses which a candidate may himself pay are restricted to postage, telegrams, telephoning, stationery, expressage, traveling, meals and lodging.

The candidate must give an itemized accounting of his personal expenditures to the campaign treasurer. Such itemized accounting will become part of the campaign treasurer's report to the secretary of the state.

A campaign treasurer for an unsuccessful candidate in a primary must report to the secretary of the state within thirty days following the primary. Otherwise, campaign treasurers are required to report to the secretary of the state within thirty days following election. As mentioned before, a candidate must report as to his personal expenditures for inclusion in the campaign treasurer's report.

In the case of campaign treasurers of candidates, where there is a deficit or surplus, supplemental reports must be filed with the secretary of the state every ninety days until the deficit is eliminated or the surplus distributed. The campaign treasurer may distribute surplus either to the state central committee or to the local town committee of the party of the candidate as the candidate determines.

Campaign treasurers must take care to maintain such records as will satisfy the requirements of the campaign financing law. Their reports to the secretary of the state must be under oath and must contain the following information:

All contributions must be itemized, and those of a contributor in the aggregate totalling more than fifteen dollars must be identified by full name and complete address, contributors of less than fifteen dollars must be identified by name and town of residence.

Corporations may not make political contributions. (This prohibition shall not apply to any incorporated town committee established under Sec. 9-392.) Anonymous contributions are not permitted either.

All expenditures must be itemized, giving full name and complete address of the payee, the amount of the expenditure, and the purpose of the expenditure.

Permissible expenditures are those listed in Section 9-348g of the law. It is improper to lump expenditures, such as by paying several individuals through an intermediary.

Campaign treasurers of candidates showing a deficit or surplus in their reports are required to file supplemental reports every ninety days until the deficit is eliminated or the surplus distributed. In the supplemental reports all further contributions must be identified; where the surplus is distributed either to the state central committee or the local town committee of the party of the candidate, the campaign treasurer must so specify.

Campaign treasurers should take care to file their reports on time, as the law provides for late filing fees and criminal prosecution. Campaign treasurers must file their reports with the coordinating treasurer 10 days prior to the filing date under Sec. 9-348h with the secretary of the state, which filing dates are thirty days following an election; or in the case of an unsuccessful candidate in a primary, within thirty days following such primary.

Cancelled checks returned by the campaign depository bank to a campaign treasurer should be preserved by him for the duration of the term of office sought by the candidate to whom they relate, as required by law. If a single expenditure relates to more than one candidate, it is advised to preserve that check for the duration of the longest term of office.

Special provisions of law govern testimonial affairs. Testimonial affairs for office holders and for candidates for nomination and election are strictly limited as to time and purpose. The consent of the candidate is required.

- (a) No testimonial shall be held except in the calendar year before the election and not later than ninety days following same, except that if such candidate has a deficit from such election, testimonial affairs may be held on his behalf until such deficit is eliminated.
- (b) Testimonial proceeds may be used only for those purposes recognized in the law as permissible campaign expenditures (Sec. 9-348g).

Inasmuch as the proceeds of testimonial affairs are used for campaign purposes, testimonial affairs are governed by the laws of campaign financing and reporting, beginning with the designation of a campaign treasurer and of a campaign depository bank, and continuing through the reporting requirements as they pertain to campaign treasurers of candidates, including full identification and itemization as to contributions and expenditures.

Where possible within statutory time provisions, the campaign treasurer may include his report of a testimonial affair with his post election campaign report. As to testimonials not included in the campaign treasurer's post election report, a separate report as to the testimonial must be filed within thirty days following such testimonial.

PART B

This part of the campaign financing and reporting act treats financing campaigns for election, and preliminary primaries, to all elective public offices except presidential elector, United States senator, member in congress, governor, lieutenant governor, secretary of the state, treasurer, comptroller, attorney general, sheriff, judge of probate, and member of the general assembly.

Financing, the receipt of campaign contributions and the expenditure of same, is in all events done by the campaign treasurer. There may be many campaign treasurers, for nothing prevents one from serving as the treasurer of more than one organization or candidate, and nothing prevents an organization or candidate from having more than one campaign treasurer.

Organizations which must have a campaign treasurer are those combinations of two or more persons organized or conducting its activities in or outside of the state to aid or promote the success or defeat of any political party or principle in any election or to take part in the nomination or election of any candidate for public office.

A candidate himself, if he is to enter upon the business of campaign financing, must do so through a campaign treasurer; the candidate, then, may appoint his own campaign treasurer. Or, with his permission, there may be a committee formed on behalf of the candidate himself; in such event the committee must have a campaign treasurer.

While a candidate may appoint one or more treasurers, or have one or more committees on his behalf each with a treasurer, in no event may the candidate serve as his own campaign treasurer.

In addition, each candidate is required to designate one treasurer to be responsible for receiving and coordinating reports of contributions and expenditures and filing the itemized sworn statement required with the town clerk. All other campaign treasurers and political committees shall be responsible for reporting contributions to and expenditures by them to such Coordinating Treasurer not later than ten days prior to the filing date with the town clerk.

The first step, which must be executed before the business of campaign financing is begun, is the filing of a designation of treasurer with the town clerk and the filing of a designation of the Coordinating Treasurer.

The designation of treasurer is signed by the secretary of the committee; in the case of a personal treasurer appointed by the candidate, it is signed by the candidate; in the case of the coordinating treasurer, it is signed by the candidate; in the case of a committee formed on behalf of a candidate, it is signed by the secretary of the committee and the candidate. The designation must specify the period during which the treasurership shall continue.

Only after the campaign treasurer has been designated may campaign contributions be solicited or received, and expenditures authorized or made.

All contributions, including any contributions by the candidate himself, must be made only to a treasurer whose designation is on file.

Limitations on what a candidate himself may contribute have been removed from state law.

Only a campaign treasurer may actually expend campaign funds.

With reference to expenditures, while the actual business of campaign financing is carried on only by a campaign treasurer, candidates are in strictly limited circumstances permitted to pay their own personal expenses after first drawing upon a campaign treasurer for same.

Personal expenses which a candidate may himself pay are restricted to postage, telegrams, telephoning, stationery, expressage, traveling, meals and lodging.

Since candidates draw upon the campaign treasurer for their personal expenses, they must furnish an itemized accounting to the campaign treasurer, and it will become a part of that campaign treasurer's report to the town clerk.

A campaign treasurer for an unsuccessful candidate in a primary must report to the town clerk within thirty days following the primary. Otherwise, campaign treasurers report to the town clerk within thirty days following the election. As mentioned before, the campaign treasurer must include as part of his report an accounting of candidate's personal expenses for which the candidate drew upon the treasurer.

Campaign treasurers must take care to maintain such records as will satisfy the requirements of the campaign financing law. Their reports to the town clerk must be under oath and must contain the following information:

All contributions must be itemized, and those of a contributor in the aggregate totalling more than fifteen dollars must be identified by full name and complete address, contributors of less than fifteen dollars must be identified by name and town of residence.

Corporations may not make political contributions. (This prohibition shall not apply to any incorporated town committee established under Sec. 9-392.) Anonymous contributions are not permitted either.

All expenditures must be itemized, giving full name and complete address of the payee, the amount of the expenditure, and the purpose of the expenditure.

Permissible expenditures are those listed in Section 9-340 of the law. It is improper to lump expenditures, such as by paying several individuals through an intermediary.

Campaign treasurers should take care to file their reports on time, as the law provides for penalties and criminal prosecution. Campaign treasurers must file their reports with the coordinating treasurer 10 days prior to the filing date under Sec. 9-341, which filing dates are 30 days following an election; or in the case of an unsuccessful candidate in a primary, within 30 days following such primary.

Special provisions of law govern testimonial affairs. Testimonial affairs for office holders and for candidates for nomination and election are strictly limited as to time and purpose. The consent of the candidate is required.

No testimonial shall be held except in the calendar year before the election and not later than ninety days following same; except that, if such candidate has a deficit from such election, testimonial affairs may be held on his behalf until such deficit is eliminated.

Testimonial proceeds may be used only for those purposes recognized in the law as permissible campaign expenditures. (Section 9-340)

Inasmuch as the proceeds of testimonial affairs are used for campaign purposes, testimonial affairs are governed by the laws of campaign financing and reporting, beginning with the designation of a campaign treasurer and continuing through the reporting requirements as they pertain to campaign treasurers of candidates, including full identification and itemization as to contributions and expenditures.

Where possible within statutory time provisions, the campaign treasurer may include his report of a testimonial affair with his post election campaign report. As to testimonials not included in the campaign treasurer's post election report, a separate report as to the testimonial must be filed within thirty days following such testimonial.

Statements filed with the town clerk must be preserved for the duration of the term of office being sought by the candidate to who they relate.

DESIGNATION OF COORDINATING TREASURER

(PLEASE COMPLETE AND FILE WITH THE OFFICE OF
THE SECRETARY OF THE STATE)

I,
(Full Name and Complete Address of Candidate)

candidate for - holder of - the Office of
(Title of Office)

do hereby appoint
(Full Name and Complete Address of Treasurer)

as my COORDINATING TREASURER, to be responsible for receiving and
coordinating reports of all of my other campaign treasurers and for the filing of
same with the Secretary of the State, to act in such capacity during the period of
..... 19...., to 19....,
said period including an election on 19...., any
primary preliminary thereto; a testimonial affair pursuant to Section 9-348n, on
..... 19....

.....
CANDIDATE

DESIGNATION OF TREASURER AND BANK DEPOSITORY

(Please complete A, B or C and file with the Office of the Secretary of the State)

A PERSONAL TREASURER OF CANDIDATE

I, (Full Name and Complete Address of Candidate) candidate for - holder of - the Office of do (Title of Office) hereby appoint (Full Name and Complete Address of Treasurer) an elector of the State of Connecticut, as a Campaign Treasurer to act for me in such capacity during the period of 19..., to 19..., said period including an election on 19..., any primary preliminary thereto; a testimonial affair, pursuant to Section 9-348n, on 19....

I also hereby designate (Full Name and Address) a bank situated in this state, Bank Depository for campaign funds to be used by said Treasurer during his term of appointment.

..... (CANDIDATE)

B TREASURER OF A COMMITTEE ON BEHALF OF A CANDIDATE

At a meeting (Name of Committee) held on 19..., (Full Name and Complete Address of Treasurer) an elector of the State of Connecticut, was duly appointed as Campaign Treasurer by said committee and by (Full Name and Complete Address of Candidate) a candidate for - holder of - the Office of to act (Title of Office) in such capacity during the period of 19..., to 19..., said period including an election on 19..., any primary preliminary thereto; a testimonial affair, pursuant to Section 9-348n, on 19....

At said meeting (Full Name and Address) a bank situated in this state, was designated Bank Depository for campaign funds to be used by said Treasurer during his term of appointment.

..... (CANDIDATE)

..... (SECRETARY OF COMMITTEE)

C TREASURER OF A POLITICAL COMMITTEE

At a meeting of (Name of Committee) held on 19 ..., (Full Name and Complete Address of Treasurer) an elector of the State of Connecticut, was duly appointed as Campaign Treasurer by said committee to act in such capacity during the period of 19..., to 19 ..., said period including an election on 19..., any primary preliminary thereto.

At said meeting (Full Name and Address) a bank situated in this state, was designated Bank Depository for campaign funds to be used by said Treasurer during his term of appointment.

..... (SECRETARY OF COMMITTEE)